

# Schedule

**Breakfast: 0815 – 0900**

**Seminar: 0900 – 1100**

**Break: 1100 – 1130**

**Panel Discussion: 1130 – 1330**

**Emerging Risks in the Financial Services Industry Sector Seminar & Discussion**

Sagikor Cave Hill School of Business & Management

**OCT. 24** In Person / Online

## SEMINAR Presented by BDO Chapelle



- An introduction to Emerging Risks and how to Identify them and identify those risks that may impact your organisation's strategic objectives should they occur
- Explore tools and techniques to take this list of risks and evaluate, prioritise, and treat the identified risks. Evaluation enables prioritisation – which of the risks do we really need to be thinking about and responding to as a future priority
- Understanding Emerging risks is complicated by a lack of information and the unknown timing of when they will impact the organisation. Various techniques are available to help with assessing and evaluating risks – all are helpful; which one you choose to use will, in part, be driven by the level of maturity of your organisation and the time/resources (capacity and capability) you have to support the assessment and evaluation activity
- A framework designed to identify the risks you know, the risks you should know and the risks you don't know, using the newly released ISO/TS 31050:2023 Risk Management – Guidelines for managing an emerging risk to enhance resilience

### Panel Discussion

BDO Chapelle & specially invited leading guest panellists to discuss emerging risks in the financial services industry sector

### Discussion Topics:

- Geopolitical, Macroeconomics and Regulatory Compliance risks
- Emerging Technologies Risks: Artificial Intelligence, Data and Data Governance, Cyber risks
- Environmental, Social and Governance (ESG) risks
- Operational Resilience
- Digital Assets

### Who Should Attend?

- Chief risk officers
- Heads of operational risk
- Risk directors, risk managers, risk officers and risk analysts
- Business line managers in charge of managing their risks
- Senior managers and managers
- Compliance officers
- Internal auditors
- Regulators
- Consultants
- Wealth Managers
- Chartered Accountant
- All other interested parties

For More Information, Contact: [events@carisec.global](mailto:events@carisec.global)

**1 Day Session**  
9am–1:30pm AST

**In-Person**  
375USD  
750BDS

**Online**  
275USD  
550BDS

**4 CPD**  
Continuing Professional  
Development credits



## BDO Chapelle Trainer/Panellist

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### **David Lannoy**

BDO Chapelle Associate Director, Risk Training & Practices

#### **Experience:**

20+ years in risk and non-financial industries

#### **Specialist in:**

ERM, training and risk workshops, RCSAs

David Lannoy has gained vast experience in risk management over the last 20 years. He has worked in a wide range of sectors such as public service, finance, telecommunication and life sciences.

David's key achievement is bringing enterprise risk management and internal control to a higher level of maturity in international organisations. Among others, he has worked for the Committee of Regions, the European Economic and Social Committee, and the European Border and Coast Guard Agency.

Developing an enterprise risk management approach in a technology firm providing payment services in a leading life sciences company. Implementing criminal risk assessment and terrorist threat assessment for the public sector.

David holds a Master's in Political Sciences and advanced degrees from highly-regarded risk management courses at leading business schools (Vlerick Business School, Ghent, and EDHEC and HEC in Geneva). He is also studying for a PhD in the field of enterprise risk management.

David has been admitted to the Institute of Risk Management (IRM) in London as a Specialist Member and Chairman of the Belgium-Luxembourg Regional Group of the IRM. He is also a Certified ISO 31000 Risk Manager Certified Internal Control Professional.

David has developed a risk assessment methodology based on the Lego Serious Play approach that has been widely recognised within the risk management community (RIMS Annual Conference in Boston and the Danish Society of Engineers Risk Management Conference in Copenhagen) and has been shortlisted at the CIR Risk Management Awards 2019 in the 'Risk Management Innovation of the Year' category.

David is a regular guest lecturer and master thesis supervisor at the HEG Geneva School of Business Administration, the Solvay Brussels School, the IESEG Business School in Lille and the Skolkovo Moscow School of Management. He also regularly contributes to professional publications. David's creativity and ability to communicate complex matters makes him a highly well-regarded speaker and trainer for a wide range of audiences.

## Panellists

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**Warrick Ward**  
Chief Executive Officers  
Financial Services Commission, Barbados

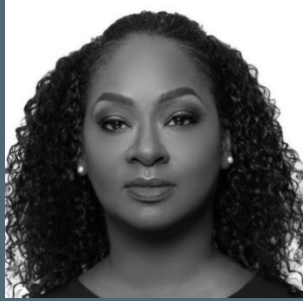
He has over 20 years of diversified financial sector experience, having served in several senior roles within both the public and private sectors.

Since January 2023, he has assumed the role of the Chief Executive Officer of the Financial Services Commission, having most recently served as the Managing Director of AltoComp Consulting Inc. – a boutique firm offering corporate finance and strategic advisory services to a range of clients.

He has also served as a Senior Manager in the Advisory practice at PricewaterhouseCoopers, where he offered advisory services ranging from corporate finance and financial modelling to merger and acquisition strategy.

He has also served previously as Chief Executive Officer of NCB Capital Markets (Barbados) Limited, and within the public sector, he has served in senior positions at the Financial Services Commission in Barbados, the Barbados Deposit Insurance Corporation, and the Central Bank of Barbados.

Mr Warrick has a diversified background in finance, economics, compliance, and other policy-related work. He has chaired several policy-making committees and boards of directors and currently sits on the Macprudential Committee of the International Association of Insurance Supervisors (IAIS).



**Candice Huggins**  
Chief Compliance Officer,  
CIBC FirstCaribbean International Bank

She has extensive experience spans over 20 years of work in the legal, risk management and regulatory compliance within the financial services sector with specialisation in international financial services, compliance and risk management in areas such as banking, securities, trust and fund administration. She has worked in both the private and public sectors in multiple jurisdictions (Cayman Islands, British Virgin Islands, Barbados, Trinidad and Tobago and Canada).

She has advised extensively on regional AML, fraud and risk issues through her work with international bodies such as the International Monetary Fund (IMF), World Bank (WB) and the Organization of American States. Specifically, she has served as a consultant for regional and international projects such as the CFATF (Caribbean Financial Action Task Force) Mutual Evaluation and Country Assessment and Preparation programs and the International Monetary Fund/World Bank Offshore Caribbean Pilot Project on AML compliance. She also represents the bank, sitting on the Board of Directors for FIBA.

She has litigated in the area of white-collar crime, contributing to the jurisprudence of the region, as well as developed and implemented risk and compliance programs for credit unions, banks, trust & company service providers, securities companies and real estate agents.

Since 2016, she has also been extremely active on the correspondent banking issues facing regional jurisdictions. She has represented CIBC FCIB at multiple seminars facilitated by the IMF/WB between 2017-2018 in Barbados, Jamaica and most recently in the Bahamas. She has also had significant input in the conversation around the loss and retention of correspondent banks in the region and participated in the dialogue sessions hosted by the U.S. State Department/U.S. Correspondent Banks/Respondent Regional Banks/Governments and Statutory Authorities in Jamaica and Antigua.



**Cindy Sadaphal**  
General Counsel and Compliance  
Manager,  
Sagicor Bank (Barbados) Limited

She is a practising attorney-at-law of 23 years and 21 years as a compliance professional. She has provided support for regional financial institutions on anti-money laundering, anti-terrorism, anti-proliferation financing, sanctions, privacy, operational risk and regulatory issues for several Caribbean countries. She has also worked as counsel on securities transactions, litigation, company law, among other areas. Further to this, she has, prior to her current role, most recently provided consulting services in building bank compliance programs, policy frameworks and training for boards, executives and the like.

She is a key stakeholder and leader in compliance in the Caribbean and, in this regard, has authored articles on the topic (e.g. KYC 360). She led the formation of the Association of Compliance Professionals of Trinidad and Tobago (ACPTT), was its first president & chairman for three (3) years and a director for a decade.

She teaches AML/ATF/APF courses provided through ACPTT and U.W.I. Roytec. She has served as a committee member for the Caribbean Regional Compliance Association (CRCA) and a speaker at key compliance associations, e.g. CRCA, KAW AML and ACAMS.