

Course Schedule – Oct 21 – 24, 2024

Particulars

Breakfast: 0815 – 0900

Morning Class: 0900 – 1200

Lunch: 1200 – 1300

Afternoon Class: 1300 – 1600

Day 1

Block 1: Regulatory Context and Risk Governance

- Regulatory context
- The role of governance
- Three Lines Model
- The role of risk committees

Block 2: Framework and Policies

- ORM framework
- The role of policies and procedures
- Operational risk policy and minimum standards

Block 3: Risk Appetite

- Industry guidance on risk appetite
- Structure of actionable risk appetite
- Communicating risk appetite

Day 2

Block 4: Incident Data Collection

- Incident data collection standards
- Categorizing risk events
- Roles and responsibilities in incident data collection
- Analysing and reporting on incident data
- Learning from external loss data

Block 5: Risk and Control Self-Assessment (RCSAs)

- RCSA: definition, scope and process
- Heatmaps and risk scales
- Controls and risk mitigation types
- Running an RCSA process and reporting on it

Day 3

Block 6: Risk Culture

- Defining and monitoring conduct in financial organisations
- Defining risk culture
- Risk culture drivers and change management
- The Use Test

Block 7: Key Risk Indicators (KRIs)

- Definitions and roles of KRIs
- KRI selection framework
- Reporting on KRIs

Day 4

Emerging Risks in the Financial Services Sector (4 CPDs)

Particulars

Breakfast: 0815 – 0900

Seminar: 0900 – 1100

Break: 1100 – 1130

Panel Discussion: 1130 – 1330

Seminar – Presented by BDO Chapelle

The purpose of this seminar is to give attendees:

- An introduction to Emerging Risks and how to Identify them and identify those risks that may impact your organisation’s strategic objectives should they occur.
- Explore tools and techniques to take this list of risks and evaluate, prioritise, and treat the identified risks. Evaluation enables prioritisation – which of the risks do we really need to be thinking about and responding to as a future priority.
- Understanding Emerging risks is complicated by a lack of information and the unknown timing of when they will impact the organisation. Various techniques are available to help with assessing and evaluating risks – all are helpful; which one you choose to use will, in part, be driven by the level of maturity of your organisation and the time/resources (capacity and capability) you have to support the assessment and evaluation activity.
- A framework designed to identify the risks you know, the risks you should know and the risks you don’t know, using the newly released ISO/TS 31050:2023.

Panel Discussion

BDO Chapelle & specially invited leading guest panellists to discuss emerging risks in the financial services industry sector.

- Geopolitical, Macroeconomics and Regulatory Compliance risks
- Emerging Technologies Risks: Artificial Intelligence, Data and Data Governance, Cyber risks.
- Environmental, Social and Governance (ESG) risks
- Operational Resilience
- Digital Assets

BDO Chapelle Trainer



David Lannoy

BDO Chapelle Associate Director, Risk Training & Practices

Experience:

20+ years in risk and non-financial industries

Specialist in:

ERM, training and risk workshops, RCSAs

David Lannoy has gained vast experience in risk management over the last 20 years. He has worked in a wide range of sectors such as public service, finance, telecommunication and life sciences.

David's key achievement is bringing enterprise risk management and internal control to a higher level of maturity in international organisations. Among others, he has worked for the Committee of Regions, the European Economic and Social Committee, and the European Border and Coast Guard Agency.

Developing an enterprise risk management approach in a technology firm providing payment services in a leading life sciences company. Implementing criminal risk assessment and terrorist threat assessment for the public sector.

David holds a Master's in Political Sciences and advanced degrees from highly-regarded risk management courses at leading business schools (Vlerick Business School, Ghent, and EDHEC and HEC in Geneva). He is also studying for a PhD in the field of enterprise risk management.

David has been admitted to the Institute of Risk Management (IRM) in London as a Specialist Member and Chairman of the Belgium-Luxembourg Regional Group of the IRM. He is also a Certified ISO 31000 Risk Manager Certified Internal Control Professional.

David has developed a risk assessment methodology based on the Lego Serious Play approach that has been widely recognised within the risk management community (RIMS Annual Conference in Boston and the Danish Society of Engineers Risk Management Conference in Copenhagen) and has been shortlisted at the CIR Risk Management Awards 2019 in the 'Risk Management Innovation of the Year' category.

David is a regular guest lecturer and master thesis supervisor at the HEG Geneva School of Business Administration, the Solvay Brussels School, the IESEG Business

School in Lille and the Skolkovo Moscow School of Management. He also regularly contributes to professional publications. David's creativity and ability to communicate complex matters makes him a highly well-regarded speaker and trainer for a wide range of audiences.